

AGILENT TECHNOLOGIES, INC.
Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, Colorado 80537-0599

ATTORNEY DOCKET NO. 10003324-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jeffrey W. Kehoe, et al.

Serial No.: 09/822,397

Examiner: Emmanuel Coffy

Filing Date: March 30, 2001

Group Art Unit: 2157

Title: FILTERING WEB PROXY FOR RECORDING WEB-BASED TRANSACTIONS THAT SUPPORTS
SECURE HTTP STEPS

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted: for the Examiner's consideration.

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☐ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

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OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

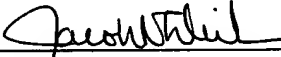
Typed Name: Jacob N. Erlich

Signature: 

Respectfully submitted,

Jeffrey W. Kehoe, et al.

By

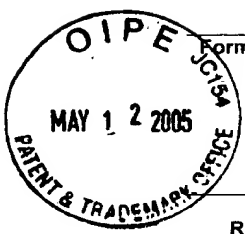


Jacob N. Erlich
Attorney/Agent for Applicant(s)

Reg. No. 24,338

Date: May 10, 2005

Telephone No. (617) 854-4000



Form PTO-1449	ATTORNEY DOCKET NO. 10003324-1	SERIAL NO. 09/822,397
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT Jeffrey W. Kehoe, et al.	
	FILING DATE March 30, 2001	GROUP 2157

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS	
EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,195,679	February 27, 2001	Bauersfeld et al.
		6,279,002	August 21, 2001	Lenz et al.
		6,041,041	March 21, 2000	Ramanathan et al.
		6,044,398	March 28, 2000	Marullo et al.
		6,763,380	July 13, 2004	Mayton et al.
		6,006,260	December 21, 1999	Barrick, Jr. et al.
		6,553,515	April 22, 2003	Gross et al.
		2002/0035498	March 21, 2002	Kehoe et al.
		2003/0084098	May 1, 2003	Lavin et al.

FOREIGN PATENT DOCUMENT					
		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)		

EXAMINER	DATE CONSIDERED
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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
10003324-1SERIAL NO.
09/822,397

APPLICANT

Jeffrey W. Kehoe, et al.

FILING DATE
March 30, 2001GROUP
2157

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		2004/0003033	January 1, 2004	Kamen et al.
		2004/0133635	July 8, 2004	Spriesterbach et al.
		2002/0046363	April 18, 2002	Nelson et al.
		6,564,342	May 13, 2003	Landan
		5,598,553	January 28, 1997	Richter et al.
		5,812,668	September 22, 1998	Weber
		6,223,221	April 24, 2001	Kunz

FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	Younas, M. et al. "A Formal Treatment of a SACReD Protocol for Multidatabase Web Transactions." M. Ibrahim et al. (Eds). DEXA 2000, LNCS 1873, pp. 899-908.
	Stein, L. D. "Torture-Testing Web Servers." Cold Spring Harbor Laboratory, April 19, 1999.
	Patent Application Serial No. 10/932,782 filed September 2, 2004 entitled: System and Method for Construction Transactions from Electronic Content.

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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PATENT APPLICATION
Sheet 1 of 1

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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10003324-1

SERIAL NO.

09/822,397

APPLICANT

Jeffrey W. Kehoe et al

FILING DATE

03/30/2001

GROUP

2161

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	1A	6,006,260	12/21/1999	James G. Barrick, Jr. et al
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	1L	WO 99 01819	01/14/1999	Stephen R. Casella et al	x	
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED